

Notice of Citation Schedule

Purpose: To define the process for issuing a Notice of Citation to the Gaming Operation or Vendors that fail to comply with applicable rules, laws, or regulations, and to establish a Citation Schedule of Fines as recommended guidelines to the Tribal Court.

Authority: The Gaming Regulatory Commission is given the authority to issue citations under the Gaming Regulatory Statute Section VI. E.16-17.

Violations: Violations may include, but are not limited to, any of the following. A “V” designation means the Vendor, a “G” designation means the Gaming Operation, and “B” means both the Vendor and Gaming Operation.

1. Failure to comply with Tribal, Federal, or applicable State laws or rules governing gaming. (B)
2. Failure to comply with LTBB Gaming Regulatory shipping requirements for gaming related devices, hardware, or software. (V)
3. Failure to comply with LTBB Tribal Minimum Internal Controls Hardware/Software agreements. (B)
4. Providing false or misleading information to the LTBB Gaming Regulatory. (B)
5. Failure to comply with BSA reporting requirements. (G)
6. Marketing mailers sent to persons who are excluded. (G)

Imposition of Fines: The Gaming Regulatory Commission recommends the following schedule of fines or forfeitures that may be imposed by LTBB Tribal Court.

Class A Citation – Issued for serious violations of internal controls
\$1000/violation Max \$5000

Class B Citation – Issued for severe violation of Tribal/Federal or applicable
State Laws, Rules or Regulations
\$5000/violation Max \$25000

All citations issued against the facility license of the gaming enterprise shall be forwarded to Legal for review to ensure that the citation does not violate any covenant in an existing agreement between the Tribe or enterprise, and lenders.